



**NORTH CAROLINA STATE BOARD OF  
ELECTIONS AND ETHICS ENFORCEMENT**  
**2018 STATEMENT OF ECONOMIC INTEREST**

**CANDIDATE**

919-814-3600

www.ncsbe.gov/Ethics/SEI

**THIS ENTIRE FORM MUST BE COMPLETED TO FULFILL  
YOUR ELECTION FILING OBLIGATION**

FOR COMPLIANCE UNIT USE ONLY

Date Received:

2018 APR 23 AM 10: 53

*LC* Checked for completion *04/23/18* Scanned

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Supp. Sent Date \_\_\_\_\_ By \_\_\_\_\_

Supp. Received Date \_\_\_\_\_

Entered in database *LC* By *04/23/18*

Evaluated By \_\_\_\_\_ Date \_\_\_\_\_

2018 ELECTION CANDIDATE FILER'S NAME (FIRST, MIDDLE, LAST)

Prefix	First Name	Middle Name	Last Name	Suffix
	HAROLD	WILLIAM	PETERSON	

CURRENT EMPLOYER

JOB TITLE

SELF

NATURE OR TYPE OF BUSINESS

RESORT/TOURISM

REASON FOR FILING (SELECT ALL THAT APPLY)

☐ CANDIDATE For (Please specify the office for which you are running)

NORTH CAROLINA SENATE DISTRICT 9

☐ STATE GOVERNMENT JOB (Please specify the agency for which you work or are being considered)

☐ BOARD/COMMISSION (Please list complete name of all State boards on which you are serving or are being considered)

☐ JUDICIAL OFFICER (Please specify the office you hold)

☒ LEGISLATOR (Please specify House or Senate)

SENATE

A. Do other immediate family members reside in your household?

☒ Yes ☐ No

When used throughout this form, the term **Immediate family** includes your spouse (unless legally separated). It also includes members of your extended family (your and your spouse's children, grandchildren, parents, grandparents, and siblings, and the spouses of each of those persons) **who reside in your household.**

List the full name of **all adults** and **emancipated minors** in your household, and relationship to you, employer, job title and the nature of the business that employs them below. A minor is a child under 18 years old. Minors are emancipated by marriage, enlistment in the US military or court order for emancipation.

FULL NAME OF ADULTS & EMANCIPATED MINORS	RELATIONSHIP	EMPLOYER	JOB TITLE	NATURE OF BUSINESS
PAMELA DODGE	WIFE	SELF	VP	RESORT/Tourism

B. List the **ONLY the initials** of all unemancipated children in your household, including relationship to you, employer, job title and the nature of the business that employs them below. A minor is a child under 18 years old. Minors are emancipated by marriage, enlistment in the US military or court action for emancipation.

Note: You must list the full name of each child on the Confidential Form available at the end of this document.

INITIALS FOR UNEMANCIPATED CHILDREN	RELATIONSHIP	EMPLOYER	JOB TITLE	NATURE OF BUSINESS

## PROPERTY INTERESTS

1. As of December 31, 2017, did you, your spouse, or members of your immediate family:

A. Have an ownership interest in North Carolina real estate (including your residence) with a market value of \$10,000 or more?

☒ Yes ☐ No

Owner of Real Estate	% Ownership Interest	Location by City	Location by County
HAROLD W PETERSON	50%	#8 MARKET ST	NEW HANOVER COUNTY
PAMELA DODGE	50%	WILMINGTON	
HAROLD W PETERSON	50%	212 ORANGE ST	NEW HANOVER COUNTY
PAMELA DODGE	50%	WILMINGTON	
HAROLD W PETERSON	25%	120 S. FRONT ST	NEW HANOVER COUNTY
		WILMINGTON	
HAROLD W PETERSON	50%	# DOORMAN'S FERRY TRAIL	BRUNSWICK COUNTY
PAMELA DODGE	50%	BALD HEAD ISLAND	
HAROLD W PETERSON	50%	10 MARINA WIND	BRUNSWICK COUNTY
PAMELA DODGE	50%	BALD HEAD ISLAND	
HAROLD W PETERSON	50%	96 KEEFSON ROW	BRUNSWICK COUNTY
PAMELA DODGE	50%	BALD HEAD ISLAND	
HAROLD W PETERSON	50%	210 MERCHANTS ROW	BRUNSWICK COUNTY
PAMELA DODGE	50%	BALD HEAD ISLAND	
HAROLD W PETERSON	50%	TRAIL 23 B	BRUNSWICK COUNTY
PAMELA DODGE	50%	BALD HEAD ISLAND	

B. Lease or rent real estate or personal property to or from the State of North Carolina with a market value of \$10,000 or more?

<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>Name of Lessor</b>	<b>Name of Lessee (Renter)</b>	<b>If Real Estate, Location by City &amp; County</b>	<b>If Personal Property, Describe</b>

2. At any time during 2016 or 2017, did you, your spouse, or members of your immediate family sell to or buy from the State of North Carolina personal property with a market value of \$10,000 or more?

☐ Yes    ☒ No

<b>Name of Purchaser</b>	<b>Name of Seller</b>	<b>Type of Property</b>

**FINANCIAL INTERESTS**

3. As of December 31, 2017, did you, your spouse, or members of your immediate family own any of the following financial interests valued at \$10,000 or more?

A. Stock in a publicly owned company?

☒ Yes    ☐ No

► Do not list ownership interests in a widely held investment fund (including mutual funds, regulated investment companies, or pension or deferred compensation plans) if: (i) the fund is publicly traded or its assets are widely diversified; and (ii) neither you nor an immediate family member are able to control the assets held in the mutual fund, investment company, or pension or deferred compensation plan.

<b>Owner of Interest</b>	<b>Full Name of Company (Do not use a ticker symbol)</b>

SEE  
ATTACHMENT

B. Stock Options in a company or business?

☐ Yes    ☒ No

<b>Owner of Stock Option</b>	<b>Full Name of Company (Do not use a ticker symbol)</b>

C. Interests in a non-publicly owned company or business entity (including interests in sole proprietorships, partnerships, limited partnerships, joint ventures, limited liability companies, limited liability partnerships, and closely held corporations)?

☒ Yes ☐ No - If "No", proceed to question 4.

Owner of Interest

Name of Company or Business Entity

SEE ATTACHMENT

C (1). For each non-publicly owned company or business entity (the "primary company") identified in question 3.C above, please list the names of *any other companies or business entities* in which the primary company owns securities or equity interests valued at over \$10,000, *if known*.

Non-Publicly Owned Company or Business Entity  
(the Primary Company)

Other Companies in which the Primary Company  
Owns Security or Equity Interests

☒ None or Not Known

C (2). If you know that any company or business entity listed in 3.C or 3.C(1) above has any material business dealings or business contracts *with the State of North Carolina*, or is *regulated by the State*, provide a brief description of that business activity.

Name of Company or Business Entity

Description of Business Activity with the State

☒ None or Not Known

4. As of December 31, 2017, were you, your spouse, or members of your immediate family the beneficiaries of a vested trust with a value of \$10,000 or more that was created, established, or controlled *by you*?

Do not list assets held in blind trusts. See 2017 SEI Helpful Tips for the definition of "Vested Trust" and "Blind Trust."

☐ Yes ☒ No

Name and Address of Trustee

Description of the Trust

Your Relationship to the Trust

5. As of December 31, 2017, did you, your spouse, or members of your immediate family have liabilities of \$10,000 or more, excluding the mortgage on your primary personal residence? Examples include credit card debts, auto loans, student loans, personal loans and intra-family debt.

☒ Yes ☐ No

Name of Debtor (You, Spouse, Immediate Family  
Member)

Type of Creditor (Commercial Bank, Credit Union,  
Individual, etc.)

SEE ATTACHMENT

6. List each source of income (not specific amounts) of more than \$5,000 received by you, your spouse, or members of your immediate family during 2017. Include salary, wages, state/local government retirement, professional fees, honoraria, interest, dividends, rental income, business income, and other types of income required to be reported on your State and federal tax returns.

Do not include income received from the following sources:

- ▶ Capital gains
- ▶ Federal government retirement
- ▶ Military retirement
- ▶ Social security income/SSDI

Recipient of Income	Name of Source	Type of Business/Industry	Type of Income
<input type="checkbox"/> I had no reportable income over \$5,000 in 2017.			
SEE ATTACHMENT			

### PROFESSIONAL AND CIVIC RELATIONSHIPS

7(a). During 2017, were you, your spouse or members of your immediate family a director, officer, governing board member, employee, independent contractor, or registered lobbyist of a nonprofit corporation or organization operating in the State of North Carolina primarily for religious, charitable, scientific, literary, public health and safety, or educational purposes?

☐ Yes ☒ No - If "No", proceed to question 8.

- ▶ Do not list State boards or entities, or entities created by a political subdivision of the State.
- ▶ Do not list organizations of which you are a mere member.

Name of Person	His/Her Position	Name of Nonprofit Corporation or Organization	Nature of Business or Purpose of Organization

7(b). If the nonprofit corporations or organizations listed above do business with the State of North Carolina or receive State funds, please provide a brief description of the nature of that business, if known or with which due diligence could reasonably be known.

Name of Nonprofit Corporation or Organization	Describe State Business or State Funding
<input checked="" type="checkbox"/> None or Not Known	

8. During 2017, were you, your spouse, or members of your immediate family a director, officer, or governing board member of any society, organization, or advocacy group with an interest in matters over which your agency or board may have jurisdiction?

☐ Yes ☒ No ☐ Legislator/Judicial Officer - You are not required to complete this question if you are filing because you are a legislator or a judicial officer or you are filing as an appointee to those offices.

► Do not list organizations of which you are only a member (not serving in a leadership role).

Name of Person	Name of Society, Organization or Advocacy Group	Leadership Position (Director, Officer, Board Member)

9(a). List the name of each company or business with which you were associated where you or a member of your immediate family was an employee, director, officer, partner, proprietor, or member or manager as of December 31, 2017.

Name of Person	Relationship to Filer	Name of Company	Role of Person
<input type="checkbox"/> No Business Associations			
HAROLD W PETERSON	—	- BERKSHIRE COMMERCE LLC	MEMBER
HAROLD W PETERSON		• SNOWCREEK GROUP LLC	MEMBER
HAROLD W PETERSON		• CANOPY GROUP LLC	MEMBER
HAROLD W PETERSON		• MARITIME REALTY & DEVELOPMENT LLC	MEMBER
HAROLD W PETERSON		CITY MARKET OF WILMINGTON LLC	MEMBER.

9(b). If you know that any company or business entity listed in 9(a) above had any material business dealings or business contracts with the State of North Carolina or was regulated by the State as of December 31, 2017 provide a brief description of that business activity.

Name of Company or Business Entity	Description of Business Activity with the State
<input type="checkbox"/> Not applicable (No entities listed on #9a) <input type="checkbox"/> No relationship / Not known	

10. Are you a practicing attorney?

☐ Yes ☒ No ☐ Judicial Officer/State Attorney

If "Yes", check each category of legal representation in which you or the law firm with which you are affiliated has earned legal fees of more than \$10,000 during 2017.

- |   |   |   |                                   |
|---|---|---|-----------------------------------|
| <input type="checkbox"/> Administrative                         | <input type="checkbox"/> Admiralty            | <input type="checkbox"/> Corporate                  | <input type="checkbox"/> Criminal |
| <input type="checkbox"/> Decedent's Estates                     | <input type="checkbox"/> Environmental        | <input type="checkbox"/> Insurance                  | <input type="checkbox"/> Labor    |
| <input type="checkbox"/> Local Government                       | <input type="checkbox"/> Real Property        | <input type="checkbox"/> Securities                 | <input type="checkbox"/> Tax      |
| <input type="checkbox"/> Tort litigation (including negligence) | <input type="checkbox"/> Utilities Regulation | <input type="checkbox"/> Other category not listed. |                                   |

11. During 2017, were you a licensed professional (other than an attorney) or did you provide consulting services individually or as a member of a professional association for which you charged or were paid over \$10,000?

☐ Yes ☒ No

Type of Business

Nature of Services Rendered

12. Are you or your employer, your spouse or members of your immediate family, or their employer currently:

- Licensed by the State board or employing entity with which you are or will be associated or
- Regulated by the State board or employing entity with which you are or will be associated or
- Have a business relationship with the State board or employing entity with which you are or will be associated?

☐ Yes ☒ No ☐ Legislator/Judicial Officer - You are not required to complete this question if you are filing because you are a legislator or a judicial officer ("judicial officer" is defined in the SEI Helpful Tips) or you are filing as an appointee to those offices.

Name of Person

Name of Employer  
(if applicable)

Type of Relationship  
(Licensing, Regulatory, Business)

13. Are you, your spouse or a member of your immediate family currently registered as a lobbyist or lobbyist principal, or were you registered as such within the 12 months preceding your filing of this form?

☐ Yes ☒ No

Name of Lobbyist

Lobbyist's Principal

Date of  
Registration

Registration  
Expiration

#### OTHER DISCLOSURES

14. During any calendar quarter in 2017 (but only the time period after you were appointed, employed or filed or were nominated as a candidate), did you

- receive any "gift(s)" exceeding \$200 per quarter from a person or group of persons acting together, and
- when both you and those person(s) were outside North Carolina at the time you accepted the gift(s), and
- the gift(s) were given under circumstances that would lead a reasonable person to conclude that they were given for lobbying?

☐ Yes ☒ No

► Do not report gifts given by members of your extended family.

► Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted Persons."

Date Item Received

Name and Address of Donor(s)

Describe Item Received

Estimated Market  
Value

15. During 2017 (but only the time period after you were appointed, employed, or filed or were nominated as a candidate) did you

- accept a "scholarship" exceeding \$200 from a person or group of persons acting together **and**
- those person(s) were outside North Carolina **and**
- the scholarship was related to your public position? A "scholarship" is a grant-in-aid to attend a conference, meeting, or similar event.

☐ Yes ☒ No ☐ Judicial Officer - You are not required to complete this question if you are a judicial officer or you are filing as a judicial officer appointee.

- ▶ Do not report gifts that have previously been reported by you to the Department of the Secretary of State on the "Expense Report for Exempted Persons."
- ▶ Legislators are not required to report scholarships paid by a nonpartisan legislative organization of which the legislator or the General Assembly is a member or participant or an affiliate of that organization.

Date of Scholarship	Name and Address of Donor(s)	Describe Event	Estimated Market Value

16. Were you appointed or are you being considered for an appointment to a covered board by the **Governor** or another Council of State member?

**Council of State members are:**

- ▶ Governor
- ▶ State Auditor
- ▶ Attorney General
- ▶ Commissioner of Insurance
- ▶ Lt. Governor
- ▶ State Treasurer
- ▶ Commissioner of Agriculture
- ▶ Secretary of State
- ▶ Superintendent of Public Instruction
- ▶ Commissioner of Labor

☐ Yes ☒ No

If "Yes", list all contributions you (NOT immediate family members) made during 2017 with a cumulative total of more than \$1,000 to the Governor or other Council of State member who appointed you.

▶ Contributions are defined in N.C.G.S. 163-278.6(6) and include, but are not limited to, "any advance, conveyance, deposit, distribution, transfer of funds, loan, payment, gift, pledge or subscription of money or anything of value whatsoever."

Date	Amount	Contributed to
<input type="checkbox"/> No contribution(s) with a cumulative total of more than \$1,000		



<b>17. Are you an appointee or prospective appointee to:</b>			
a. the head of a principal state department (e.g. cabinet secretary) appointed by the Governor; or b. a North Carolina Supreme Court Justice, Court of Appeals, Superior or District Court Judge; or c. a member of any of the following boards: <ul style="list-style-type: none"> <li>• ABC Commission</li> <li>• Coastal Resources Commission</li> <li>• State Board of Education</li> <li>• State Board of Elections</li> <li>• Division of Employment Security</li> <li>• Environmental Management Commission</li> <li>• Industrial Commission</li> <li>• Human Resources Commission</li> <li>• Rules Review Commission</li> <li>• Board of Transportation</li> <li>• UNC Board of Governors</li> <li>• Utilities Commission</li> <li>• Wildlife Resources Commission</li> </ul>			<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  <b>If "No", proceed to question 18.</b>
d. If so, were you appointed or are you being considered for appointment to that public position by a Council of State member? Council of State members are listed in question 16.			<input type="checkbox"/> Yes <input type="checkbox"/> No <b>If "No", proceed to question 18.</b>
e. If so, you must indicate whether during 2017 you (not immediate family members) engaged in any of the following activities with respect to or on behalf of the candidate or campaign committee of the Council of State member who appointed you to your public position:			<input type="checkbox"/> Yes <input type="checkbox"/> No
i. Collected contributions from multiple contributors, took possession of such multiple contributions, and transferred or delivered those collected contributions to the candidate or committee? Contributions are defined in question 16.			<input type="checkbox"/> Yes <input type="checkbox"/> No
ii. Hosted a fundraiser at your residence or place of business?			<input type="checkbox"/> Yes <input type="checkbox"/> No
iii. Volunteered for campaign-related activities, which include, but are not limited to, phone banks, event assistance, mailings, canvassing, surveying, or any other activity that advances the campaign of a candidate?			<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>18. Have you ever been convicted of a felony for which you have not received either: (i) a pardon of innocence; or (ii) an order of expungement regarding that conviction?</b>  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>Offense</b>	<b>Date of Conviction</b>	<b>County of Conviction</b>	<b>State of Conviction</b>
<b>19. Are you aware of any other information that <i>you believe</i> may assist the State Ethics Commission in advising you concerning your compliance with the State Government Ethics Act?</b>  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No    If yes, please provide such information below.			

## AFFIRMATION

I affirm that the information provided in this Statement of Economic Interest and any attachments hereto are true, complete, and accurate to the best of my knowledge and belief.

I also certify that I have not transferred, and will not transfer, any asset, interest, or property for the purpose of concealing it from disclosure while retaining an equitable interest.

I understand that my Statement of Economic Interest and any attachments or supplements thereto (with the exception of the Confidential Form regarding Unemancipated Children) are public record.

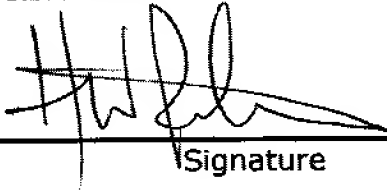
I acknowledge that I have read and understand N.C.G.S. 138A-26 regarding concealing or failing to disclose material information and N.C.G.S. 138A-27 regarding providing false information:

§ 138A-26. Concealing or failing to disclose material information.

A filing person who knowingly conceals or knowingly fails to disclose information that is required to be disclosed on a statement of economic interest under this Article shall be guilty of a Class 1 misdemeanor and shall be subject to disciplinary action under G.S. 138A-45.

§ 138A-27. Penalty for false information.

A filing person who provides false information on a statement of economic interest as required under this Article knowing that the information is false is guilty of a Class H felony and shall be subject to disciplinary action under G.S. 138A-45.

  
Signature

Harold William Peterson Jr

  
Printed Name

4/18/18

  
Date

**Submit SIGNED, ORIGINAL documents only.**

**Do not fax or email this form.**

3A:

This pertains to Question 3A

BLACKROCK INC  
INTEL CORP  
MICROSOFT CORP  
ABBVIE INC COM  
ALPHABET INC CL C  
AMAZON.COM INC  
AUTOMATIC DATA PROCESSNG  
ACCENTURE PLC IRELAND  
CLOROX CO  
EL PASO ENERGY CAP TRUST  
ENBRIDGE INC CAD  
EXXON MOBIL CORP  
FACEBOOK INC CL A  
PROCTER & GAMBLE CO  
TARGA RESOURCES  
VISA INC CL A  
W P CAREY INC REIT

3C:

Owner of Interest	Name of Company or Business Entity
Harold W Peterson/50% Pamela Dodge/50%	Riverside Adventure Company
Harold W Peterson/50%.	Bluepost Billiards
Harold W Peterson/25%.	Old Wilmington City Market

5:

Name of Debtor.	Type of Creditor
Pamela Dodge.	Auto Lender (Ford Motor Credit)
Harold W Peterson & Pamela Dodge	Commercial Bank (Suntrust Bank)
Harold W Peterson & Insurance Company) Pamela Dodge	Commercial Bank (Northwestern Mutual)
Pamela Dodge	Commercial Bank (UBS Line of Credit)

6.

Recipient of Income	Name of Source	Type of Business	Type
Harold Peterson Salary	Riverside Adventure Co.	Resort/Tourism.	
Harold Peterson. Dividends	Blue Post llc.	Sports Bar.	
Pamela Dodge. Salary	Riverside Adventure. Co.	Resort/Tourism.	
Pamela Dodge. Dividends	Pamela B Adams Family Trust.	Trust.	
Pamela Dodge. Dividends	The Adam Family Famiy Trust.	Trust.	
Harold Peterson Rental Income & Pamela Dodge	Morning Light	Rental Property.	
Harold Peterson. Rental income & Pamela Dodge	8 Market St.	Rental Property.	
Harold Peterson. Rental Income & Pamela Dodge	South Water llc.	Property Management	